

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: :
Artavanis-Tsakonas et al. : Group Art Unit:
Serial No.: To be assigned : Examiner:
Filed: On even date herewith :
For: THERAPEUTIC AND DIAGNOSTIC :
METHODS AND COMPOSITIONS :
BASED ON NOTCH PROTEINS AND :
NUCLEIC ACIDS : Pennie & Edmonds
Attorney Docket No.: 7326-015 : 1155 Avenue of the Americas
New York, New York 10036-2711

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Honorable Commissioner of Patents and Trademarks
Washington, D.C. 20231

S I R:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to the references listed on the attached revised form PTO 1449. A copy of reference BM is enclosed herewith. The remaining references listed on the attached revised form PTO 1449 were cited by or submitted to the Patent Office in connection with patent application Serial No. 07/955,012 filed September 30, 1992, to which the instant application claims priority under the provisions of 35 U.S.C. § 120. Pursuant to 37 C.F.R. §

EXPRESS MAIL CERTIFICATION

"Express Mail" label No. TB 294 001 444 US Date of Deposit June 25, 1993
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

ANDREA SILVERMAN

(Signature of person making paper or fee)

PENY-189900.1

1.98(d), the Examiner is directed to the file of application Serial No. 07/955,012 for copies of the references cited therein.

Identification of the listed references is not to be construed an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." As an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.

Pursuant to 37 C.F.R. § 1.97(b), since this information disclosure statement is being filed within three months of the filing of this application or before the mailing date of a first Office Action on the merits, no fee is due in connection herewith. However, should the Patent Office determine otherwise, please charge the required fee to Pennie & Edmonds deposit account no. 16-1150; a duplicate of this sheet is enclosed.

Respectfully submitted,

Date: June 25, 1993

S. Leslie Misrock
S. Leslie Misrock

by Adriane Antler
Reg. No. 32,605
18,872
(Reg. No.)

PENNIE & EDMONDS
1155 Avenue of the Americas
New York, New York 10036-2711

(212) 790-9090